



Formal Languages and Linguistics

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General introduction

1. Mathematicians (incl. Chomsky) have formalized the notion of **language**
 - oversimplification ?
 - maybe...
2. It buys us:
 - 2.1 Tools to think about theoretical issues about language/s (expressiveness, complexity, comparability...)
 - 2.2 Tools to manipulate concretely language (e.g. with computers)
 - 2.3 A research programme:
 - Represent the syntax of natural language in a fully unambiguously specified way

Now let's get familiar with the mathematical notion of language



Overview

Formal Languages

Basic concepts

Definition

Questions

Regular Languages

Formal Grammars

Formal complexity of Natural Languages



Alphabet, word

Def. 1 (Alphabet)

An *alphabet* Σ is a finite set of symbols (letters).
The *size* of the alphabet is the cardinal of the set.

Def. 2 (Word)

A *word* on the alphabet Σ is a finite sequence of letters from Σ .
Formally, let $[p] = (1, 2, 3, 4, \dots, p)$ (ordered integer sequence).
Then a word is a *mapping*

$$u : [p] \longrightarrow \Sigma$$

p , the length of u , is noted $|u|$.



Examples II

Alphabet $\{0,1,2,3,4,5,6,7,8,9, \cdot\}$

Words 235 · 29

007 · 12

·1 · 1 · 00 ..

3 · 1415962... (π)

...

Alphabet $\{a, \text{woman}, \text{loves}, \text{man}\}$

Words a

a woman loves a woman

man man a loves woman loves a

...



Monoid

Def. 3 (Σ^*)

Let Σ be an alphabet.

The set of all the words that can be formed with any number of letters from Σ is noted Σ^*

Σ^* includes a word with no letter, noted ε

Example: $\Sigma = \{a, b, c\}$
 $\Sigma^* = \{\varepsilon, a, b, c, aa, ab, ac, ba, \dots, bbb, \dots\}$

N.B.: Σ^* is always infinite, except...



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N.B.: Σ^* is always infinite, except. . .

if $\Sigma = \emptyset$. Then $\Sigma^* = \{\varepsilon\}$.



Structure of Σ^*

Let k be the size of the alphabet $k = |\Sigma|$.

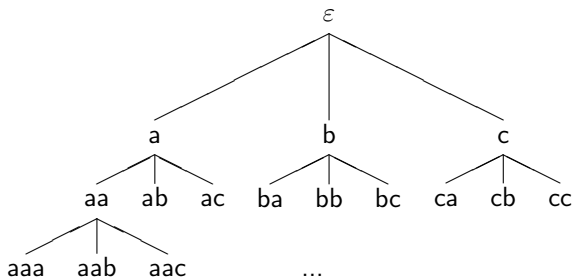
Then Σ^* contains :

$k^0 = 1$	word(s) of 0 letters (ε)
$k^1 = k$	word(s) of 1 letters
k^2	word(s) of 2 letters
...	
k^n	words of n letters, $\forall n \geq 0$



Representation of Σ^*

$$\Sigma = \{a, b, c\}$$



- ▶ Words can be enumerated according to different orders
- ▶ Σ^* is a *countable* set



Concatenation

Σ^* can be equipped with a binary operation: *concatenation*

Def. 4 (Concatenation)

Let $[p] \xrightarrow{u} \Sigma$, $[q] \xrightarrow{w} \Sigma$. The concatenation of u and w , noted uw ($u.w$) is thus defined:

$$uw : [p + q] \longrightarrow \Sigma$$

$$uw_i = \begin{cases} u_i & \text{for } i \in [1, p] \\ w_{i-p} & \text{for } i \in [p + 1, p + q] \end{cases}$$



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 v cca



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Example :

u	bacba
v	cca
uv	bacbacca



Factor

Def. 5 (Factor)

A *factor* w of u is a subset of adjacent letters in u .

$-w$ is a factor of u $\Leftrightarrow \exists u_1, u_2$ s.t. $u = u_1 w u_2$

$-w$ is a left factor (*prefix*) of u $\Leftrightarrow \exists u_2$ s.t. $u = w u_2$

$-w$ is a right factor (*suffix*) of u $\Leftrightarrow \exists u_1$ s.t. $u = u_1 w$

Def. 6 (Factorization)

We call *factorization* the decomposition of a word into factors.



Role of concatenation

1. Words have been defined on Σ .
If one takes two such words, it's always possible to form a new word by concatenating them.
2. Any word can be factorised in many different ways:
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$abaccab$

$(a)(b)(a)(c)(c)(a)(b)$

3. Since all letters of Σ form a word of length 1 (this set of words is called the *base*),
4. any word of Σ^* can be seen as a (unique) sequence of concatenations of length 1 words :

$abaccab$

$(((((ab)a)c)c)a)b$

$(((((a.b).a).c).c).a).b$



Properties of concatenation

1. Concatenation is non commutative
2. Concatenation is associative
3. Concatenation has an identity (neutral) element: ε

1. $uv.w \neq w.uv$
2. $(u.v).w = u.(v.w)$
3. $u.\varepsilon = \varepsilon.u = u$

Notation : $a.a.a = a^3$



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or, equivalently,

A language on Σ is a subset of Σ^*



Examples I

Let $\Sigma = \{a, b, c\}$.



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$L_1 = \{aa, ab, bac\}$ finite language



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Let $\Sigma = \{a, b, c\}$.

$$\frac{L_1 = \{aa, ab, bac\}}{L_2 = \{a, aa, aaa, aaaa \dots\}} \quad \text{finite language}$$

$$L_2 = \{a, aa, aaa, aaaa \dots\}$$



Examples I

Let $\Sigma = \{a, b, c\}$.

$L_1 = \{aa, ab, bac\}$ finite language

$L_2 = \{a, aa, aaa, aaaa \dots\}$

or $L_2 = \{a^i / i \geq 1\}$ infinite language



Examples I

Let $\Sigma = \{a, b, c\}$.

$L_1 = \{aa, ab, bac\}$	finite language
$L_2 = \{a, aa, aaa, aaaa \dots\}$ or $L_2 = \{a^i / i \geq 1\}$	infinite language
$L_3 = \{\varepsilon\}$	finite language, reduced to a singleton



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$L_4 = \emptyset$	≠ "empty" language



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$L_4 = \emptyset$	≠ "empty" language
$L_5 = \Sigma^*$	



Examples II

Let $\Sigma = \{a, \text{man}, \text{loves}, \text{woman}\}$.



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Let $\Sigma = \{a, \text{man}, \text{loves}, \text{woman}\}$.

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Let $\Sigma' = \{a, \text{man}, \text{who}, \text{saw}, \text{fell}\}$.



Examples II

Let $\Sigma = \{a, \text{man}, \text{loves}, \text{woman}\}$.

$L = \{ \text{a man loves a woman}, \text{a woman loves a man} \}$

Let $\Sigma' = \{a, \text{man}, \text{who}, \text{saw}, \text{fell}\}$.

$L' = \left\{ \begin{array}{l} \text{a man fell,} \\ \text{a man who saw a man fell,} \\ \text{a man who saw a man who saw a man fell,} \\ \dots \end{array} \right\}$



Set operations

Since a language is a set, usual set operations can be defined:

- ▶ union
- ▶ intersection
- ▶ set difference



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- ▶ union
- ▶ intersection
- ▶ set difference

⇒ One may describe a (complex) language as the result of set operations on (simpler) languages:

$$\{a^{2k} / k \geq 1\} = \{a, aa, aaa, aaaa, \dots\} \cap \{ww / w \in \Sigma^*\}$$



Additional operations

Def. 8 (product operation on languages)

One can define the *language product* and its closure *the Kleene star* operation:

- ▶ The *product* of languages is thus defined:

$$L_1.L_2 = \{uv / u \in L_1 \ \& \ v \in L_2\}$$

Notation: $\overbrace{L.L.L \dots L}^{k \text{ times}} = L^k ; L^0 = \{\varepsilon\}$

- ▶ The Kleene star of a language is thus defined:

$$L^* = \bigcup_{n \geq 0} L^n$$



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Are NL context-sensitive?

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