

Formal Languages and Linguistics

Pascal Amsili

Sorbonne Nouvelle, Lattice (CNRS/ENS-PSL/USN)

PSL Master's degree in Cognitive Science, February 2025

General introduction

1. Mathematicians (incl. Chomsky) have formalized the notion of **language**
oversimplification ?
maybe...
2. It buys us:
 - 2.1 Tools to think about theoretical issues about language/s
(expressiveness, complexity, comparability...)
 - 2.2 Tools to manipulate concretely language (e.g. with computers)
 - 2.3 A research programme:
 - Represent the syntax of natural language in a fully unambiguously specified way

Now let's get familiar with the mathematical notion of language

Alphabet, word

Def. 1 (Alphabet)

An *alphabet* Σ is a finite set of symbols (letters).

The *size* of the alphabet is the cardinal of the set.

Def. 2 (Word)

A *word* on the alphabet Σ is a finite sequence of letters from Σ .

Formally, let $[p] = (1, 2, 3, 4, \dots, p)$ (ordered integer sequence).

Then a word is a *mapping*

$$u : [p] \longrightarrow \Sigma$$

p , the length of u , is noted $|u|$.

Examples I

Alphabet $\{\cdot, _ \}$ Words $_ _ _ _ \cdot$ \cdot $_ _ _ _$ \dots Alphabet $\{\cdot, _ , _ _ , _ _ \cdot , _ _ _ , \cdot , \dots \}$ Words $_ _ _ _ _ _ _ _$ $_ _ _ _ _ _ \cdot _ _ _ _ _ _ _ _$ $\cdot _ _ _ _ _ _ _ _ \cdot _ _ _ _ _ _ _ _ _ _ \cdot$ \dots

Examples II

Alphabet $\{0,1,2,3,4,5,6,7,8,9, \cdot\}$

Words 235 · 29

007 · 12

·1 · 1 · 00 · ·

~~3 · 1415962 · · ·~~ (π)

...

Alphabet $\{a, \text{woman}, \text{loves}, \text{man}\}$

Words a

a woman loves a woman

man man a loves woman loves a

...

Monoid

Def. 3 (Σ^*)

Let Σ be an alphabet.

The set of all the words that can be formed with any number of letters from Σ is noted Σ^*

Σ^* includes a word with no letter, noted ε

Example: $\Sigma = \{a, b, c\}$
 $\Sigma^* = \{\varepsilon, a, b, c, aa, ab, ac, ba, \dots, bbb, \dots\}$

N.B.: Σ^* is always infinite, except...
if $\Sigma = \emptyset$. Then $\Sigma^* = \{\varepsilon\}$.

Structure of Σ^*

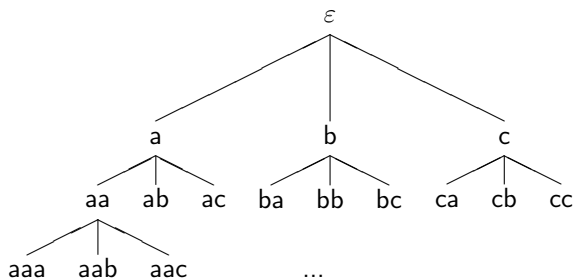
Let k be the size of the alphabet $k = |\Sigma|$.

Then Σ^* contains :

$k^0 = 1$	word(s) of 0 letters (ε)
$k^1 = k$	word(s) of 1 letters
k^2	word(s) of 2 letters
...	
k^n	words of n letters, $\forall n \geq 0$

Representation of Σ^*

$$\Sigma = \{a, b, c\}$$



- ▶ Words can be enumerated according to different orders
- ▶ Σ^* is a *countable* set

Concatenation

Σ^* can be equipped with a binary operation: *concatenation*

Def. 4 (Concatenation)

Let $[p] \xrightarrow{u} \Sigma$, $[q] \xrightarrow{w} \Sigma$. The concatenation of u and w , noted uw ($u.w$) is thus defined:

$$uw : [p + q] \longrightarrow \Sigma$$

$$uw_i = \begin{cases} u_i & \text{for } i \in [1, p] \\ w_{i-p} & \text{for } i \in [p + 1, p + q] \end{cases}$$

Example :

u	bacba
v	cca
uv	bacbacca

Factor

Def. 5 (Factor)

A *factor* w of u is a subset of adjacent letters in u .

$-w$ is a factor of u $\Leftrightarrow \exists u_1, u_2$ s.t. $u = u_1 w u_2$

$-w$ is a left factor (*prefix*) of u $\Leftrightarrow \exists u_2$ s.t. $u = w u_2$

$-w$ is a right factor (*suffix*) of u $\Leftrightarrow \exists u_1$ s.t. $u = u_1 w$

Def. 6 (Factorization)

We call *factorization* the decomposition of a word into factors.

Role of concatenation

1. Words have been defined on Σ .
Given any two words, it's always possible to form a new word by concatenating them.

2. Any word can be factorised in many different ways:

$$a b a c c a b$$

$$(a b a)(c c a b)(a b)(a c c)(a b)(a b a c c)(a b)(a b)(a)(c)(c)(a b)$$

3. Since all letters of Σ form a word of length 1 (this set of words is called the *base*),
4. Any word of Σ^* can be seen as a (unique) sequence of concatenations of length 1 words :

$$a b a c c a b$$

$$((((((ab)a)c)c)a)b)$$

$$((((((a.b).a).c).c).a).b)$$

Properties of concatenation

1. Concatenation is non commutative
2. Concatenation is associative
3. Concatenation has an identity (neutral) element: ε

1. $uv.w \neq w.uv$
2. $(u.v).w = u.(v.w)$
3. $u.\varepsilon = \varepsilon.u = u$

Notation : $a.a.a = a^3$

Language

Def. 7 (Formal Language)

Let Σ be an alphabet.

A language on Σ is a set of words on Σ .

or, equivalently,

A language on Σ is a subset of Σ^*

Examples I

Let $\Sigma = \{a, b, c\}$.

$L_1 = \{aa, ab, bac\}$	finite language
$L_2 = \{a, aa, aaa, aaaa \dots\}$ or $L_2 = \{a^i / i \geq 1\}$	infinite language
$L_3 = \{\varepsilon\}$	finite language, reduced to a singleton
$L_4 = \emptyset$	≠ "empty" language
$L_5 = \Sigma^*$	

Examples II

Let $\Sigma = \{a, \text{man}, \text{loves}, \text{woman}\}$.

$L = \{ \text{a man loves a woman}, \text{a woman loves a man} \}$

Let $\Sigma' = \{a, \text{man}, \text{who}, \text{saw}, \text{fell}\}$.

$L' = \left\{ \begin{array}{l} \text{a man fell,} \\ \text{a man who saw a man fell,} \\ \text{a man who saw a man who saw a man fell,} \\ \dots \end{array} \right\}$

Set operations

Since a language is a set, usual set operations can be defined:

- ▶ union
- ▶ intersection
- ▶ set difference

⇒ One may describe a (complex) language as the result of set operations on (simpler) languages:

$$\{a^{2k} / k \geq 1\} = \{a, aa, aaa, aaaa, \dots\} \cap \{ww / w \in \Sigma^*\}$$

Additional operations

Def. 8 (product operation on languages)

One can define the *language product* and its closure *the Kleene star* operation:

- ▶ The *product* of languages is thus defined:

$$L_1.L_2 = \{uv / u \in L_1 \ \& \ v \in L_2\}$$

Notation: $\overbrace{L.L.L \dots L}^{k \text{ times}} = L^k ; L^0 = \{\varepsilon\}$

- ▶ The Kleene star of a language is thus defined:

$$L^* = \bigcup_{n \geq 0} L^n$$