

# Formal Languages: An Introduction

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ENS-PSL & GIPSA-lab

Master's in Cognitive Science

# Class information

**Lectures:** Tuesdays, 4 pm - 6 pm

**Tutorials:** Fridays, 4 pm - 6 pm

**Official website:** <https://www.linguist.univ-paris-diderot.fr/~amsili/Ens/FTSL.php>

**Moodle site:** <https://moodle.psl.eu/enrol/index.php?id=25347>

**Please, enrol if you have not done so already!**

**Evaluation:** 4 homeworks (60 %) and 1 final exam (40 %)

**Contacts:** [pascal.amsili@ens.fr](mailto:pascal.amsili@ens.fr) & [angelo.ortiz.tandazo@ens.psl.eu](mailto:angelo.ortiz.tandazo@ens.psl.eu)

# Outline

Motivation

Set theory refresher

Basic concepts

Finite automata

Exercises

Next steps

# Motivation

- The child ate the pasta with a fork

- The child ate the pasta with a fork
- John saw the man on the mountain with a telescope.

# Ambiguity

- The child ate the pasta with a fork
  - Did the pasta come with a fork?
  - Or did the child use a fork?
- John saw the man on the mountain with a telescope.
  - Was John on the mountain? Or was the man?
  - Did John had a telescope? Or did the man? Or did the mountain?

# Motivation

- Your brain effortlessly resolves these ambiguities using context, world knowledge, and probability
- You can understand sentences you've never heard before
- You can detect grammatical errors even in unfamiliar contexts
  - 'The ideas sleeps' → Something feels wrong



# Motivation

- Your brain effortlessly resolves these ambiguities using context, world knowledge, and probability
  - You can understand sentences you've never heard before
  - You can detect grammatical errors even in unfamiliar contexts
    - 'The ideas sleeps' → Something feels wrong
- 
1. How does your mind represent grammatical rules?
  2. What makes some sequences of words 'feel' right or wrong?
  3. Can we create mathematical models that capture these intuitions?

# Motivation

Formal languages will give us precise tools to:

- Model how our minds process structure
- Bridge human language processing and computational systems
- *Understand the mathematical limits of what patterns can be recognised*

# Set theory refresher

# Set theory refresher I

Set: Unordered sequence of unique elements

Empty set:  $\emptyset$  or  $\{\}$

Membership:  $x \in S$

' $x$  is an element/member of  $S$ '

Extensional notation:  $\{x_1, x_2, \dots, x_n\}$

'elements  $x_1, x_2, \dots, x_n$ '

Intensional notation:  $\{x \in S \mid P(x)\}$

'elements of  $S$  that verify property  $P$ '

Inclusion:  $A \subseteq B$

'all the members of  $A$  are also members of  $B$ '

Cardinal:  $|S|$

'*number* of elements of  $S$ '

# Set theory refresher II

Union:  $A \cup B$

‘all the elements of  $A$  and  $B$ ’

Intersection:  $A \cap B$

‘all the common elements of  $A$  and  $B$ ’

Complementation:  $A \setminus B$

‘all the elements of  $A$  not in  $B$ ’

Power set:  $\mathcal{P}(S)$

‘all the combinations of elements of  $S$ ’

ex:  $\mathcal{P}\{0, 1\} = \{\emptyset, \{0\}, \{1\}, \{0, 1\}\}$

# Set theory refresher III

$$\mathbb{N}^* = \{x \in \mathbb{N} \mid x \geq 1\} \subseteq \mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{R}$$

$$|\emptyset| = 0$$

For any set  $S$ :

$$\emptyset \subseteq S$$

$$S \subseteq S$$

$$S \cup \emptyset = S$$

$$S \cap \emptyset = \emptyset$$

$$\emptyset \in \mathcal{P}(S)$$

$$S \in \mathcal{P}(S)$$

# Basic concepts

# Alphabet

➤ A finite set of symbols denoted by  $\Sigma$ .

Examples:

- English alphabet:  $\Sigma_{\text{en}} = \{a, b, c, \dots, z\}$
- Binary alphabet:  $\Sigma_{\text{bin}} = \{0, 1\}$
- Programming alphabet:  $\Sigma_{\text{prog}} = \{\text{letters, digits, operators, brackets, ...}\}$



# Word

➤ Any finite sequence (i.e. string) of symbols (i.e. letters) from the alphabet.

Examples:

- $\epsilon$  (empty word)
- 'hello' is a valid English word
- '101' is a string in binary
- 'x = y + 2' is a string in most programming languages

# Length operator

➤ For any word  $w$ ,  $|w|$  denotes the number of symbols in  $w$ .

Examples:

- $|\varepsilon| = 0$
- $|\text{'hello'}| = 5$
- $|\text{'101'}| = 3$

# Concatenation

- For any words  $u$  and  $v$ , its concatenation  $uv$  (or  $u.v$ ) is the word formed by adding  $v$  at the end of  $u$ .

Notation:  $\underbrace{u.u.u\dots u}_{k \text{ times}} = u^k$

# Special sets

- $\Sigma^k \triangleq \{w \mid |w| = k \text{ and } w \text{ is a string over } \Sigma\}$ , for  $k \geq 1$
- $\Sigma^0 \triangleq \{\varepsilon\}$ , regardless of  $\Sigma$
- $\Sigma^1 = \Sigma$
- $\Sigma^* \triangleq \bigcup_{k \geq 0} \Sigma^k$

Note: if  $\Sigma = \emptyset$ , then  $\Sigma^* = \{\varepsilon\}$ .

Examples: for  $\Sigma_{\text{bin}} = \{0, 1\}$

- $\Sigma_{\text{bin}}^1 = \{0, 1\}$
- $\Sigma_{\text{bin}}^2 = \{00, 01, 10, 11\}$
- $\Sigma_{\text{bin}}^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$

# Language

➤ A set of words over an alphabet  $\Sigma$ , that is a subset of  $\Sigma^*$ .

Examples:

- English *dictionary*: all valid English words
- Binary strings: all sequences of 0s and 1s
- Binary numbers: all sequences of 0s and 1s without leading 0s
- Python: all syntactically valid Python programs

# Letters, words and language

Language: English *dictionary*

Alphabet?

# Letters, words and language

Language: English *dictionary*

Alphabet? **English morphemes**

# Letters, words and language

Language: English *dictionary*

Language: English *sentences*

Alphabet? English morphemes

Alphabet?



# Letters, words and language

Language: English *dictionary*

Language: English *sentences*

Alphabet? English morphemes

Alphabet? **English words**

# Letters, words and language

Language: English *dictionary*

Language: English *sentences*

Language: Morse code

Alphabet? English morphemes

Alphabet? English words

Alphabet?

# Letters, words and language

Language: English *dictionary*

Language: English *sentences*

Language: Morse code

Alphabet? English morphemes

Alphabet? English words

Alphabet? {., -}

# Finite automata

# Finite automata

- An abstract model of computation that, starting from an **initial state**, reads an input (i.e. a word) from left to right and accepts iff it ends up in an **accept state** after reading the whole input.

Elements:

- $Q$ : a finite set of states
- $\Sigma$ : an alphabet
- $q_0$ : the initial state
- $F \subseteq Q$ : final states
- $\delta$ : a transition function

# Finite automata

- An abstract model of computation that, starting from an **initial state**, reads an input (i.e. a word) from left to right and accepts iff it ends up in an **accept state** after reading the whole input.
- A finite automaton  $A$  recognises a language  $L$  iff its set of accepted words  $\mathcal{L}(A) = L$ .

Elements:

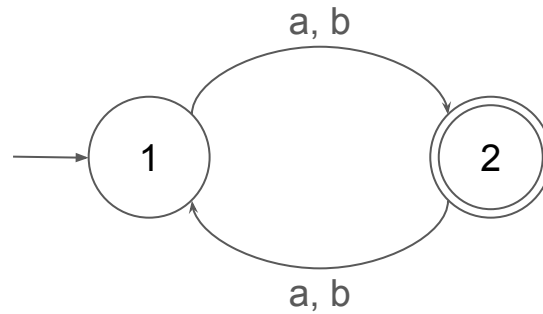
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# Finite automata: example

State diagram of a finite automaton recognising the set of words with an odd length over the alphabet  $\Sigma = \{a, b\}$ .

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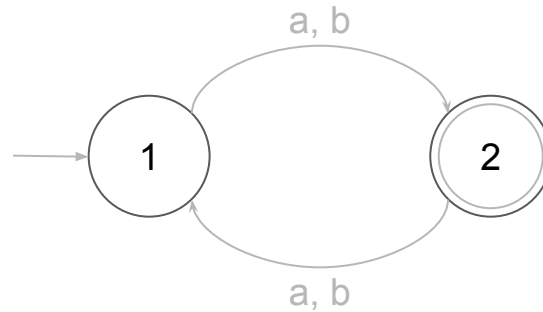


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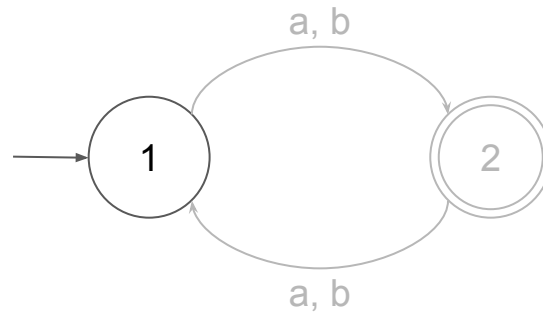


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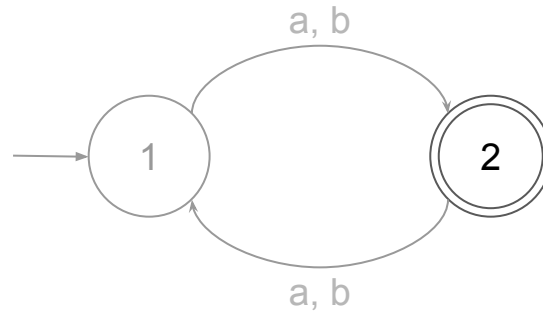


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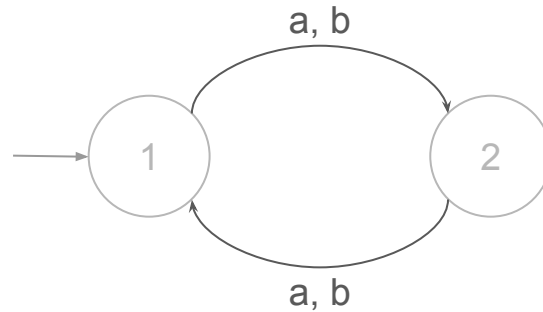


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**Exercises!**

# Next steps

- Regular languages
- Formal grammars
- Complexity hierarchy
- First-order logic
- Predicate logic
- (General) quantifiers
- $\lambda$ -calculus